BEST AVAILABLE COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

WILLIAM J. ALLEN, WINTHROP D. CHILDERS and CLEMENT C. LO

HP Docket No. 10015643-1

Serial No.

10/062,644

Examiner P. Natnael

Filed

January 31, 2002

Group Art Unit 2614

For

DISPLAY DEVICE WITH COOPERATIVE COLOR FILTERS

Commissioner for Patents P. O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

DECLARATION UNDER § 1.131

We declare as follows:

- We are inventors who, on January 31, 2002, filed the above-identified application. At the time of such invention, we were employees of Hewlett-Packard Company.
- 2. Prior to December 31, 2001, the effective date of the application from which U.S. Patent No. 6,813,087 issued to Davis matured, we conceived of our invention, and diligently worked toward reducing our invention to practice, as demonstrated by the Invention Disclosures attached to this declaration.
- 3. Invention Disclosure 10015643 (which has a descriptive title "Dynamic Gamut via Filter Wheels for Digital Projector") is attached hereto as Exhibit 1. As indicated, Invention Disclosure 10015643 was prepared at least as early as April 24, 2001.

Page 1

DECLARATION UNDER § 1.131 Serial No. 10/062,644 HP Docket No. 10015643-1 KH Docket No. HPCC 329 . 4. Invention Disclosure 10019415 (which has a descriptive title "Variable

Gamut via Locked Together Dual Color Wheels for a Digital Projector") is attached

hereto as Exhibit 2. As indicated, Invention Disclosure 10019415 was prepared at

least as early as July 30, 2001.

5. At the time of preparing Exhibits 1 and 2, which preceded

December 31, 2001, we had conceived of a display device including: an illumination

source configured to direct light along an optical path; a first color filter having a first

number of color regions; and a second color filter having a second number of color

regions; wherein the first and second color filters are configured so as to selectively

adjustably cooperate in sequentially filtering the directed light to display an image.

6. We also had conceived of a display device including an carriage

configured to selectively position either the first color filter or the second color filter in

the optical path.

7. We also had conceived of a display device including an optical path

director configured to selectively direct the optical path through either the first color

filter or the second color filter.

8. We also had conceived of a method of displaying an image, the

method including: providing an illumination source; directing light from the

illumination source along an optical path; and sequentially filtering the directed light

with at least one of plural cooperative color filters by selecting a first color filter, fixing

a position of a second color filter in a predetermined position in the optical path and

moving the first color filter relative to the optical path.

Page 2

DECLARATION UNDER § 1.131

Serial No. 10/062,644

HP Docket No. 10015643-1

KH Docket No. HPCC 329

9. We also had conceived of a method of displaying an image, the

method including: providing an illumination source; directing light from the

illumination source along an optical path; and sequentially filtering the directed light

with at least one of plural cooperative color filters by altering the optical path to

coincide with a selected one of plural color filters.

10. We also had conceived of a sequential color filter system for filtering

light directed along an optical path, the sequential color filter system including: a first

color wheel having a plurality of color regions; and a second color wheel having a

plurality of color regions including at least one white region; each of the first and

second color wheels being individually selectable to sequentially filter the light

directed along the optical path.

11. We also had conceived of a display device including: an illumination

source configured to direct light along an optical path; a first sequential color filter

means disposed along the optical path; and a second sequential color filter means

disposed along the optical path; one of the first sequential color filter means and the

second sequential color filter means being selectable for individual movement

through the optical path to effect adjustable sequential filtering of the directed light to

display an image.

12. Following our conception prior to December 31, 2001, we diligently

worked toward reducing our inventions to practice, and on January 31, 2002, filed

the present patent application.

13. All acts set forth herein and/or relied upon for the purpose of

establishing invention prior to December 31, 2001 were carried out in the United

States.

Page 3

DECLARATION UNDER § 1.131

Serial No. 10/062,644

HP Docket No. 10015643-1

KH Docket No. HPCC 329

14. We declare that all statements made herein of our knowledge are true and all statements made on information and belief are believed to be true. These statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under § 1001 of Title 18 of the United States Code. We understand that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

Date: 24 JUN 05	Willia Alla
Date:	William J. Allen
	Winthrop D. Childers
Date:	Clement C. I.o.

and all statements made on information and belief are believed to be true. These statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under § 1001 of Title 18 of the United States Code. We understand that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

Date:	
	William J. Allen
Date: June 10, 2005	2000
	Winthrop D. Childers
Date:	
Date	Clement C. Lo

. 14. We declare that all statements made herein of our knowledge are true and all statements made on information and belief are believed to be true. These statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under § 1001 of Title 18 of the United States Code. We understand that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

Date:	
	William J. Allen
Date:	
	Winthrop D. Childers
Date: 6/14/05	- Cleman
	Clement C. Lo.





(III)

INVENTION BISCLOSURE

(WKRP Document No. 20010404.215500)

Done Printing Document

PD Number: 10015643

Date Received by Legal: 4/24/01

Managing Attorney: Rose, Curtis

Invention Disclosure status: Awaiting PD Number PD Number Assigned - Ready to Send to Patent Coordinator

General Information | Description of Invention | Invention History | Inventor Information | Witness Information | Additional Information | Administrative Record | Review Record

The information contained in this document is HP CONFIDENTIAL and may not be disclosed to others without prior authorization. Submit this disclosure to the HP Legal Department as soon as possible. No patent protection is possible until a patent application is authorized, prepared, and submitted to the Government.

General Information

Section Complete

Title: Write a descriptive title of the invention.

Dynamic Gamut via Filter Wheels for Digital Projector

Abstract: Write a brief abstract of the invention.

Existing sequential color digital projectors use one of two color wheel schemes depending on the intended use of the projector. One scheme delivers a gamut with a better white point at the expense of chroma, while the other trades off white for better chroma. The invention details a novel scheme for using two wheels in a product to allow the projector to easily switch from one optimization scheme to the other. Customers can use one projector to display video with a video optimized gamut and and display data with a gamut optomized for data.

Description of Invention

Section Complete

Projector hardware has a fixed gamut. Some machines have a gamut optomized for video in controlled lighting, others are optomized for data display.

projection system can be realized by automatically controlling the color gamut selection based on image content and ambient conditions.

A single projector can adjust the shape of its gamut depending on image content and viewing conditions.

A single projector with the invention does the job of two that don't have it. Implementation is straightforward, easily quantifiable in terms of size, weight, cost, and benefit. Existing designs in the market serve as proof of concept for the invention. Easy to market: DynamicGamut (tm) and easy to demonstrate benefits.

Description: Describe the construction and operation of the invention.

Refer to attached Dynamic Gamut.ppt

Figure 1 shows a conventional color wheel optomized for video display in controlled lighting. The wheel has three segments, one for each primary color. This design has lots of chroma, but has a limited maximum brightness (white point).

Figure 2 shows a convention data display wheel. The white segment allows lots of light to reach the screen, increasing maximum brighness. This comes at the expense of the other three segments, effectively reducing the intensity of the three primaries. This reduces the maximum chroma.

One implementation would be to put two wheels in the projector. You could move one or the other wheel into the optical path, depending on which is desired. Alternatively, the optical path could be moved (mirrors or prisms) through the desired wheel.

A twist on the previous implementation would be to have a single wheel with both patterns (Figures 1 and 2) on it. The two patterns are concentric, one outside the other. Either the wheel or optical path is moved so as to cause the light to pass through one pattern or the other. If the filter wheel is upstream of the integrator rod, the optical path could be positioned so as to pass through some each pattern, resulting in an infinite set of intermediate gamuts.

An different implementation is possible where neither wheels nor the optical path are moved. See Figure 3. Two wheels are used. For data display, Wheel B is stopped with light passing through the white (clear) segment. This effectively takes wheel B out of the system. The area through which the light passes in the wheel is naturally small in typical systems, so only a small angle of Wheel B must be white. Wheel A is a "classic" RGBW data wheel. Since wheel B is stopped at a clear segment, only wheel A is in effect.

This concept is easily extended to multiple wheel, each with a filter set that is optimized to give a particular gamut.

When a less bright, higher chroma gamut is desired, Wheel A is stopped on its white segment, and Wheel B spins. Wheel be is almost the same as the high chroma wheel shown in Fig 1. A small section given to white. It is probably possible to adjust the optics so as to reduce the area on Wheel B through which the light passes, thereby reducing the percent of the wheel lost to white.

The selection of gamut shape is easily automated. Assume there are two available gamut shapes: HP (high brightness), HC (high chroma). If data is supplied via a VGA data port, then the HP gamut is selected. If data is supplied via the S-Video port, or via the composite video jack, then HC is selected. In a more sophisticated implementation, incoming data is analyzed, and is determined to be data or video. The analysis result is used to select HP or HC. This is an extension of the HP's Color Smart patent (5,731,823) to projection.

Invention History

Section Complete

Published: Was a description of the invention published, or are you planning to publish? If so, when and in what publications?

Published: No

Announced: Was a product including the invention announced, offered for sale, sold, or is such activity proposed? If so, when and where?

Announced: No

Disclosed: Was the invention disclosed to anyone outside of HP, or will such disclosure occur? If so, when and to whom?

Disclosed: No

Urgency: Will the invention be published, announced, or disclosed in the next 3 months?

No

Described: Was the invention described in a lab book or other record?

Described: No

Built: Was the invention built, modeled, or tested? If so, when?

Built: No

Government Contract: Was the invention made under a government contract? If so, the agency and contract number:

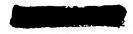
Government Contract: No

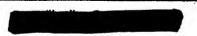
Inventor Information

Section Complete

Inventor(s): Pursuant to my (our) employment agreement, I (we) submit this disclosure:

Allen, William (Will) [00241273] Corvallis, OR, USA





Inventor Home Addresses: Enter the home address of each Inventor. This information is legally required to process your Invention Disclosure.

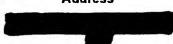
Inventors

Home Street Address City

State/ Province Zip/ Postal Code

Country

Allen, William (Will)



OR

97333-1533

United States

[US]

Inventor Citizenships: Select the country of citizenship for each Inventor.

Inventors

Country of Citizenship

Allen, William (Will)

United States [US]

Inventor Mail Stops: Enter the HP Mail Stop for each Inventor.

Inventors

HP Mail Stop

Allen, William (Will)

1032B

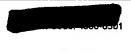
Non-HP Inventors: Please list the names, home addresses, telephone numbers, email addresses, and countries of citizenship of inventors who are not affiliated with HP.

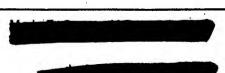
Witness Information

Section Complete

Witnesses: This invention has been explained to and understood by the following witnesses. You must name at least two witnesses.

Gorzynski, Mark E [00436603] Corvallis, OR, USA





Orr, David [00307194]

Corvallis, OR, USA

Witness Dates: At what date was this invention first explained to and understood by each witness?

Witnesses

Date Understood

Gorzynski, Mark E

April 19, 2001

Orr, David

April 6, 2001

Additional Information

Section Complete

Electronic Documents: Do you have electronic document files to upload? Please convert your documents into MS Word, PowerPoint, Adobe Acrobat, or plain text format.

File Name Click to View Size Bytes

Uploaded

Uploaded By

Dynamic_Gamut.ppt (PDF)

17920

4/6/01 Allen, William (Will)

PDF Renditions: Upload PDF renditions of any files that have been attached to this Invention Disclosure.

Paper Documents: Do you have paper documents to include with your Invention Disclosure that you would like to

FAXed Attachments: Attach any paper documents that have been FAXed in to this Invention Disclosure.

Categories: Select WKRP categories where this invention disclosure should be indexed.

Digital Projection

send by FAX?

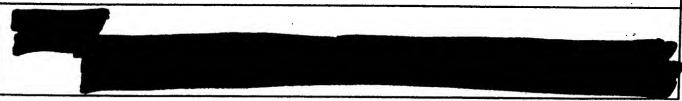
Keyword(s): Select keywords to index this invention disclosure.

projection, gamut, color space, color wheel

Innovation Workshop: Was this Invention Disclosure prepared as a result of an Innovation Workshop? If you are not sure, select No.

No

Related to a Previous Submission: Does this disclosure relate to a previously submitted disclosure? If so, please provide the PD number of the related disclosure and explain.

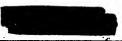


Administrative Record

Section Complete

Patent Clerk: Select the name of the Patent Clerk(s) working on this Invention Disclosure:

Isagawa, Michiko [00528430] San Diego, CA, USA





PD Number and Legal Received Date: Record the PD number assigned by Merlin and modify the date this disclosure was received, if necessary.

PD Number: 10015643 Date Received by Legal: April 24, 2001

Patent Coordinator(s): Select Patent Coordinator(s) who will work on this Invention Disclosure:

Valley, Jeffrey M [00255954] Corvallis, OR, USA

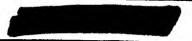




Managing Attorney(s): Select Managing Attorney(s) assigned to this Invention Disclosure:

Rose, Curtis [00202368] Corvallis, OR, USA





Legal Entity and Site: Select a Legal Entity and Site where this Invention Disclosure will be handled and reviewed:

Legal Entity: IJS

Legal Site: Corvallis

Review Record

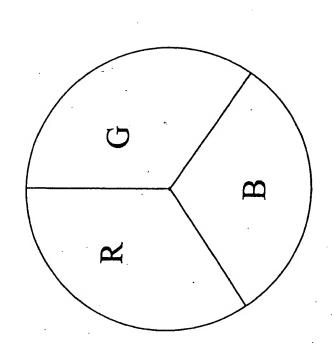
2 Required Fields Remaining

Assigned Reviewer: Select the name of the Reviewer(s) who will review this disclosure before the Site Review Committee meeting:

Functional Segment: Select the functional segments in which this disclosure will be reviewed:

Done Printing Document

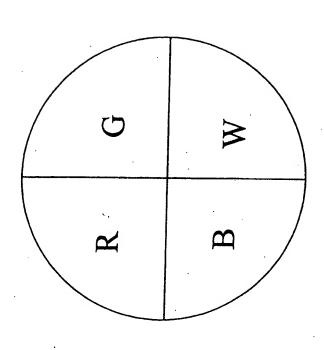
Dynamic Gamut



Conventional Single Wheel Optimized For Video in Controlled Light



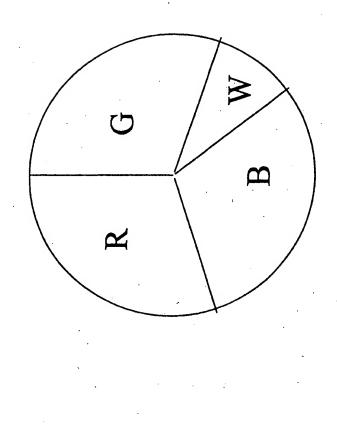
Dynamic Gamut



Conventional Single Wheel Optimized For Data



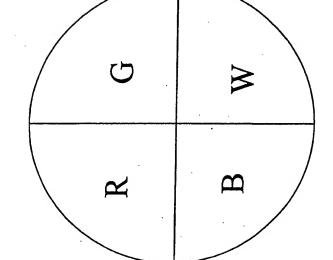
Dynamic Gamut



Wheel B

Dual Wheels

Wheel A







Invention Disclosure

(WKRP Document Number 20010726.085612)

Done Printing

PD No. 10019415 Date Received by Legal 7/30/01

Managing Attorney Dennis G Stenstrom

The information contained in this document is HP CONFIDENTIAL and may not be disclosed to others without prior authorization. Submit this disclosure to the HP Legal Department as soon as possible. No patent protection is possible until a patent application is authorized, prepared, and submitted to the Government.

General Information

Write a descriptive title of the invention.

Variable Gamut via Locked Together Dual Color Wheels for a Digital Projector

Write a brief abstract of the invention.

An illumination system for a projector includes two color wheels in the same optical path. The wheels lock together with varying degrees of alignment relative to each other to provide a tradeoff between chroma and brightness. (This invention is inspired by 10015643.)

Description of Invention

Projector systems that utilize color wheels typically have a single wheel offering a fixed gamut. Traditionally, the color wheels are purely RGB. The problem with this is brightness in some environments. To improve brightness, color wheels have incorporated clear or white sections (white for more diffuse lighting). However, this is at the expense of chroma.

An illumination system is provided for a projector. This illumination system allows a broad range of solutions for trading off color gamut and brightness.

The present invention provides a way of building a flexible projector that works for applications where chroma is more important (a very dark room and/or applications where color gamut is most important) or where brightness is more important (a not so dark room and/or where sharp boundaries between light and dark are important).

Describe the construction and operation of the invention.
See attached file
·
Invention History
Was a description of the invention published, or are you planning to publish? If so, when and in what publications?
No .
When was this Invention published?
Describe the details of the publication of this invention
Was a product including the invention announced, offered for sale, sold, or is such activity proposed? If so, when and where?
No
When was this invention announced, offered for sale, or sold?
Describe the details of the announcement of this invention.
Was the invention disclosed to anyone outside of HP, or will such disclosure occur?
Date this invention was or will be disclosed:
Describe the details of the disclosure of this invention. To whom will/has it been disclosed?
Will the invention be published, announced, or disclosed in the next 3 months? No
Was the invention described in a lab book or other record? No
Where, when, and how was this invention described?

Was the invention built, modeled, or tested? If so, when?

No

When was this invention built?

Was the invention made under a government contract? If so, the agency and contract number:

No

Give the agency and contract number:

Inventor Information

Winthrop D Childers

William (Will) Allen

Pursuant to my (our) employment agreement, I (we) submit this disclosure:

Employee Location Teinet

Number

Code

San Diego,
CA, US

Corvallis,
OR, US

Vancouver,
WA, US

Clement C Lo

Enter the home address of each inventor. This information is legally required to process your invention Disclosure.

	Home Street Address	City	State or Province	Zip or Postal Code	Country
Winthrop D Childers		San Diego	CA	92127	United States [US]
William (Will) Allen		Corvallis	OR	97333-1533	United States [US]
Clement C Lo		Lake Oswego	OR	97305	United States [US]

Select the country of citizenship for each Inventor.

CitizenshipWinthrop D ChildersUnited States [US]William (Will) AllenUnited States [US]Clement C LoUnited States [US]

Type the HP Mail Stop for each Inventor.

Mail Stop

61U66

Winthrop D Childers William (Will) Allen

. 1032B

Clement C Lo

1

Please list the names, home addresses, telephone numbers, email addresses, and countries of citizenship of inventors who are not affiliated with HP.

Witness Information

This invention has been explained to and understood by the following witnesses (you must name at least two witnesses).

Charles B (Charlie) Chapman Noah Lassar

At what date was this invention first explained to and understood by each witness?

Date Understood

Charles B (Charlie) Chapman

July 26, 2001

Noah Lassar

July 26, 2001

Attachments

Do you have electronic document files to upload? Please convert your documents into MS Word, PowerPoint, Adobe Acrobat, or plain text format.

File

Uploaded

Dual_Color_Wheels_Improvement.doc

7/27/01 8:24AM by Winthrop D Childers

Do you have paper documents to include with your invention Disclosure that you would like to send by FAX?

Additional Information

Select WKRP categories where this invention disclosure should be indexed.

Select keywords to index this invention disclosure.

projectors and illumination

Was this Invention Disclosure prepared as a result of an Innovation Workshop? If you are not sure, select No. No Does this disclosure relate to a previously submitted disclosure? If so, please provide the PD number of the related disclosure and explain. Yes What is the PD Number of the disclosure this one is related to? 10015643 Explain how this disclosure is related to a previous one This disclosure is an extension or modification of 10015643. Administrative Record Select the name of the Patent Clerk(s) working on this Invention Disclosure: Michiko Isagawa Select the name of the Legal Admin(s) working on this Invention Disclosure: Ann Lygas Record the PD number assigned by Merlin and modify the date this disclosure was received, if necessary. 10019415 Enter the legal received date: July 30, 2001 Select the name of the Patent Coordinator(s) who will work on this Invention Disclosure: Winthrop D Childers Select the name of the Managing Attorney(s) assigned to this invention Disclosure: Dennis G Stenstrom Select a Legal Entity and Site where this invention Disclosure will be handled and reviewed: IJS

Please select a Legal Site:

San Diego

Variable Gamut via Dual Color Wheels for Digital Projector

Win Childers Will Allen Clement Lo

7/25/2001

Abstract: An illumination system for a projector includes two color wheels in the same optical path. The wheels lock together with varying degrees of alignment relative to each other to provide a tradeoff between chroma and brightness. (This invention is inspired by 10015643.)

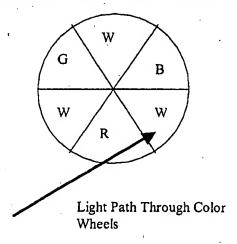
Projector systems that utilize color wheels typically have a single wheel offering a fixed gamut. Traditionally, the color wheels are purely RGB. The problem with this is brightness in some environments. To improve brightness, color wheels have incorporated clear or white sections (white for more diffuse lighting). However, this is at the expense of chroma.

The present invention provides a way of building a flexible projector that works for applications where chroma is more important (a very dark room and/or applications where color gamut is most important) or where brightness is more important (a not so dark room and/or where sharp boundaries between light and dark are important).

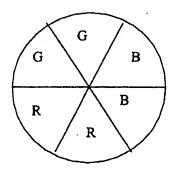
Description:

This is an extension or modification of disclosure 10015643 which describes the use of two color wheels to enable switching between one color wheel providing high chroma (all or mostly RGB) and another wheel enabling high brightness (with a white or clear section). For the present invention, there are preferably two or more color wheels, but they don't have to be different.

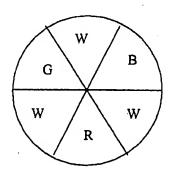
In one embodiment, there are two wheels of the following type:



The two wheels overlay one another and are clamped together, so that they spin together. The relative rotational positioning of the wheels determines the tradeoff between chroma and gamut. For maximum chroma, the color sections (RGB) are aligned with the clear or white (W) sections. For maximum brightness, each color section is aligned with the same color. These variations are illustrated below.



Maximum Chroma with 60 degree misalignment of wheels.



Maximum
Brightness with
Perfect Alignment of
Wheels

There are also a number of intermediate relative positions of the wheels that provide a continuous tradeoff between chroma and brightness.

In a preferred embodiment, the wheels "lock" and spin together as a unit at any relative position between alignment and 60 degree misalignment of the colors. A locking system allows one wheel to be partially decoupled from the shaft (for spinning the wheels) so that it may be rotated to the preferred position relative to the first wheel before being locked during operation of the projector.

In one embodiment, timing marks are placed on one or both of the wheels to determine degree of overlap. One wheel or disk is fixedly mounted to a spinning shaft while a second disk is friction mounted to a slip clutch. A braking force is applied to the second disk while the shaft is rotating until the timing mark(s) are sensed to indicate that a correct degree of relative alignment between the disks is present. Then, the braking force is removed to allow the wheels to rotate together.

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but	are not limi	ited to t	he items	checked:
☐ BLACK BORDERS				
☐ IMAGE CUT OFF AT TOP, BOTT	OM OR SIDE	ES	•	
☐ FADED TEXT OR DRAWING	•	4		
☐ BLURRED OR ILLEGIBLE TEXT	OR DRAWIN	ŅĠ		
☐ SKEWED/SLANTED IMAGES			•	• •
☐ COLOR OR BLACK AND WHITE	E PHOTOGRA	PHS		
GRAY SCALE DOCUMENTS				
LINES OR MARKS ON ORIGINA	L DOCUMEN	T		
☐ REFERENCE(S) OR EXHIBIT(S) S	SUBMITTED	ARE POO	OR QUAL	ITY
Oother:				

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.